

# **Application of the incubation time criterion for dynamic brittle fracture**

A. Dorogoy\*, A. Godinger and D. Rittel

*Faculty of Mechanical Engineering, Technion – Israel Institute of Technology, 32000, Haifa, Israel.*

## **Abstract**

The complex issue of penetration and perforation of brittle plates subjected to normal impact is addressed. Fracture and fragmentation are simulated numerically by using simultaneously two failure criteria: ductile and brittle failure. A new brittle failure criterion, which is based on incubation time is proposed. The criterion, inspired from the Tuler-Butcher criterion, is implemented in a commercial finite element code by means of a user subroutine. It is validated by comparing numerical predictions to experimental ballistic results of normal impact on polymethylmethacrylate plates. It is shown that the projectile's exit velocity and the timing of the penetration are faithfully reproduced. It is also verified visually that the experimental damage/fracture pattern, namely radial cracking and dishing, are both well captured by the proposed criterion.

**Key words:** incubation time, brittle fracture, polymethylmethacrylate, dynamic fracture, finite element

(\*) A. Dorogoy, corresponding author: [dorogoy@technion.ac.il](mailto:dorogoy@technion.ac.il)

## 1. Introduction

Modelling dynamic brittle fragmentation (e.g. as the result of ballistic impact) is a delicate issue for its complexity. The physical phenomena governing the fragmentation and the projectile-target interaction are not straightforward [1]. Various published numerical models are based on different approaches, such as cohesive elements [2]–[4], meshless methods [5], [6], smooth particle hydrodynamics [7] (SPH), or peridynamics [8], [9]. All those methods are aimed at modeling the dynamic fragmentation process in itself, while the material models are assumed to be identified separately, the most popular material models being that of Johnson-Cook [10], [11] or Johnson-Holmquist [12]–[15].

Each of those methods and the associated material models have their respective merits and success in describing ballistic perforation [4], [16]–[19] or brittle fracture [2], [4], [20]–[24] [25]–[31], but one of the drawbacks can be the lack of extensive experimental validation coupled to a sometimes excessive level of complexity, that renders the straightforward application of those approaches delicate for common engineering problems.

However, if the physics of fragmentation can be somewhat deepened, there is a reasonable chance that the numerical models be simplified accordingly. Among the main physical mechanisms of interest in brittle fragmentation, one can identify the nature of the dynamic interaction between multiple cracks and defects, all developing almost simultaneously. In essence, while a single defect may dominate the process under quasi-static loading, brittle dynamic fragmentation involves a multitude of microdefects that interact with each other. Hild et al. [32], [33] resorted to statistical descriptions of this interaction with mutual shielding effects between defects. This approach has its merit although the assumption of a weakest link statistics governing the dynamic fragmentation may be questionable [24].

Many years ago, Kalthoff and Shockey [34] showed that for a defect to propagate under impulsive loading, a certain duration must elapse during which the critical stress threshold is exceeded otherwise there is no defect growth, introducing what is now known as the “incubation time”.

Dynamic fracture experiments reveal a fundamental difference between the fast dynamic rupture (breakdown) of materials and a similar process under slow quasi static loading. This difference was addressed by some non-classical approaches and development of a new criteria for brittle fracture, as described in Morozov and Petrov and Bratov's books [35], [36]. One early time-dependent criterion for general dynamic fracture was introduced by Tuler and Butcher [37], and was used successfully to calculate spall layer thicknesses in aluminum. The criterion has the form

$$\int_0^{t_f} (\sigma(t') - \sigma_0)^\lambda dt' = K \quad \text{where } \lambda \text{ and } K \text{ are constants and } \sigma(t') \text{ is tensile stress and } \sigma_0$$

is a limit tensile stress below which fracture would not occur. Morozov and Petrov [35] proposed a structure–time criterion that takes into account the time variation of the load

and the material. In the case of “flawless” media, it has the form  $\int_{t-\tau}^t \sigma(t') dt' = \sigma_c \tau$ ,

where  $\tau$  is the incubation (material/structural) time of fracture, namely the shortest possible time needed for a load exceeding some threshold value to create rupture. A unified interpretation [38] which uses the concept of the fracture incubation time was subsequently developed [39], [40].

In this work, we assume a threshold principal stress criterion that triggers damage accumulation. The proposed criterion is implemented in a commercial finite element code [41] by means of a user subroutine [42]. Validation is achieved by comparing numerical predictions to experimental ballistic results of normal impact on polymethylmethacrylate (PMMA) plates. It is shown that a quantitative reproduction of the projectile's exit velocity and the timing of the penetration are faithfully reproduced. It is also verified visually that the experimental damage/fracture pattern, namely radial cracking and dishing, are both well captured by the proposed criterion.

The paper is organized as follows: After this introduction, the criterion is presented in section 2. Section 3 details the numerical procedure while section 4 describes the experimental set up and velocity results. The experimental and numerical results are compared in section 5, followed by a summary and conclusions in section 6.

## 2. Fragmentation criterion based on incubation time

In this section we first introduce the new brittle fracture criterion followed by a short discussion of the differences between this criterion and previous similar criteria. It is shown that the new criterion can also be derived from the kinetic equation of damage.

### 2.1 The criterion

We assume that damage at a material point will start to accumulate if this point has endured a threshold tensile principal stress denoted  $\sigma_I^{threshold}$ . Once a material point experiences  $\sigma_I^{threshold}$  at time  $t = t^*$ , damage accumulates for all  $t > t^*$  if  $\sigma_m(t) > 0$ , where

$\sigma_m = \frac{\sigma_{ii}}{3}$ ,  $i = 1 \dots 3$  is defined as the hydrostatic stress. The accumulation of damage

is related to the parameter  $D_a$  of Eqn. 1:

$$D_a = \int_{t^*}^t \sigma_m(t') dt' \quad \text{for} \quad \sigma_m(t) > 0 \quad (1)$$

When  $D_a$  reaches a critical value  $C_{cr}$ , final rupture occurs. The criterion for preventing rupture can be summarized as follows:

$$\left\{ \begin{array}{l} \text{if } \sigma_I(t = t^*) \geq \sigma_I^{threshold} \\ \int_{t^*}^t \hat{\sigma}_m(t') dt' \leq C_{cr} \\ \text{where} \\ \hat{\sigma}_m(t) = \frac{1}{2}(\sigma_m(t) + |\sigma_m(t)|) \end{array} \right. \quad (2)$$

Note that  $\hat{\sigma}_m(t) = \sigma_m(t)$  for  $\sigma_m(t) > 0$  while  $\hat{\sigma}_m(t) = 0$  for  $\sigma_m(t) \leq 0$ . The parameters  $\sigma_I^{threshold}$  and  $C_{cr}$  are material properties which may depend on strain-rate, triaxiality,

temperature, load amplitude and load rate. These values vary with time during dynamic loading. In the case of time varying parameters Eqn. 2 may be written:

$$\text{if } \sigma_I(t^*) \geq \sigma_I^{threshold}(t^*)$$

$$\int_{t^*}^t \frac{\hat{\sigma}_m(t')}{C_{cr}(t')} dt' \leq 1 \quad (3)$$

In this work we have assumed constant values of the parameters  $\sigma_I^{threshold}$  and  $C_{cr}$ , and evaluated Eqn. 2. The evaluation was done within a commercial finite element software [41] by application of a user-subroutine (VUSDFLD)[42].

Eqn. 2 might be considered as a modification of the Nikiphorovski-Shemyakin criterion [35], [36] for uniaxial tension, which writes:  $\int_0^t \sigma(t') dt' \leq C_{cr}$ . The proposed criterion is 3D and adds a *threshold* parameter  $\sigma_I^{threshold}$ . The integral is evaluated only for time  $t > t^*$ . It also states clearly that the additive value to the integral for  $t > t^*$  is zero if  $\sigma_m(t) \leq 0$ . Moreover the damage evaluation and the threshold criterion do not have to be of the same character. Here we use the maximum principal stress as a threshold criterion, while the damage is calculated by considering the hydrostatic stress. The integration time ( $t'$ ) is not limited to the range  $t - \tau \leq t' \leq t$  but we assume that once damage initiates at time  $t = t^*$ , it keeps growing as long as  $\sigma_m(t) > 0$  until final rupture.

## 2.2 Relationship between the proposed criterion and the kinetic equation of damage

Eqn. 2 can also be generated from the kinetic equation of damage which was introduced by Kachanov [43], [44] and was also used to justify the Nikiphorovski-Shemyakin criterion [35], [36]. According to Kachanov [42], damage is characterized by a scalar  $0 \leq \psi \leq 1$  which is called "continuity". Initially (no damage),  $\psi = 1$ , but as time goes on,  $\psi$  decreases. When  $\psi$  is small, the process of fracturing, which is distributed over the volume, becomes unstable, and large cracks nucleate. The final brittle rupture corresponds to  $\psi = 0$ . Localization is not considered here because this last stage before final rupture is short. A simplified kinetic equation of damage which agrees generally well with experimental results for metals subjected uniaxial tension has been proposed [44]:

$$\frac{\partial \psi}{\partial t} = -A \left( \frac{\sigma}{\psi} \right)^n \quad (4)$$

Where  $A > 1$  and  $n \geq 1$  are material constants, and  $\sigma$  is the maximal tensile stress at a considered point. The ratio  $\frac{\sigma}{\psi}$  can be interpreted as a certain effective stress. The solution of Eq. (1) is:

$$\psi^{n+1} = -(n+1) \int_0^t A \sigma^n dt + c \quad (5)$$

From the initial condition where the continuity parameter is  $\psi=1$ , one obtains  $c = 1$  and the solution is:

$$\psi^{n+1} = 1 - (n+1) \int_0^t A \sigma^n dt \quad (6)$$

Here we relax the uniaxial formulation and extend it by substitution of the 3D hydrostatic stress  $\sigma_m$ . In uniaxial tension,  $\sigma = 3\sigma_m$  where  $\sigma_m = \frac{\sigma_{ii}}{3}$ ,  $i = 1 \dots 3$  is the average hydrostatic pressure. Equation (6) can be rewritten as:

$$\psi^{n+1} = 1 - (n+1)A \int_0^t (3\sigma_m)^n dt \quad (7)$$

If  $\sigma_m$  is constant during loading, given that at final rupture  $\psi = 0$ , the time for rupture is given by:

$$t_r = \frac{1}{(n+1)A (3\sigma_m)^n} \quad (8)$$

The damage (D) is defined as  $D = 1 - \psi$  and  $0 \leq D \leq 1$ , therefore using Eq. (7):

$$0 \leq 1 - \left[ 1 - (n+1)A \int_0^t (3\sigma_m)^n dt \right]^{\frac{1}{n+1}} \leq 1 \quad (9a)$$

Which can be simplified to:

$$0 \leq (n+1)A \int_0^t (3\sigma_m)^n dt \leq 1 \quad (9b)$$

Meaning that in order to prevent final rupture, the following condition must be fulfilled:

$$\int_0^t (\sigma_m)^n dt \leq \frac{1}{3^n (n+1)A} \quad (10a)$$

Or (writing explicitly the time dependency):

$$\int_0^t (\sigma_m(t'))^n dt' \leq C_{cr} \quad \text{for} \quad \sigma_m(t) > 0 \quad (10b)$$

Where  $C_{cr}$  is a material property.

For  $n = 1$  Eqn. 10b is identical in essence to Eqn. 2 , although the limits of integration are different .

The reader will note the general resemblance to the above-mentioned criterion of Tuler and Butcher [37].

### 3. Numerical simulations of normal impact on PMMA plates by a long steel projectile.

The impact is modeled using the commercial finite element software Abaqus explicit [41]. A 3D nonlinear transient explicit analysis is used.

#### 3.1 Geometry, assembly and material properties

##### 3.1.1 Projectile

The ogive head projectile (Fig. 2a) is made of steel with a density  $\rho = 7800 \frac{Kg}{m^3}$ . Its diameter is  $d = 6 \text{ mm}$  and it has a total length of  $L = 56 \text{ mm}$ . The head is ogive with  $L_h = 9.75 \text{ mm}$ . Its volume is  $1.47 \times 10^{-6} \text{ m}^3$  and it weight is  $11.4 \text{ gr}$ . A bi-linear elastic-plastic (Mises plasticity) material model is used [42]. The Young's modulus is  $E = 210 \text{ GPa}$ , Poisson's ratio is  $\nu=0.3$ , the yield stress is  $1.5 \text{ GPa}$  and the hardening

modulus is  $E_p = 792 \text{ MPa}$ . No failure criterion was used because the projectile does not fail in our experiments.

### 3.1.2 PMMA round plates

The target is made of 5 PMMA simply contacting plates, as shown in Fig. 2a. Each plate, shown in Fig. 2b, has a thickness of  $h = 5 \text{ mm}$  and a diameter of  $D = \sim 98 \text{ mm}$ . An elastic-plastic material model with Drucker-Prager (DP) plasticity is used for the PMMA [35].

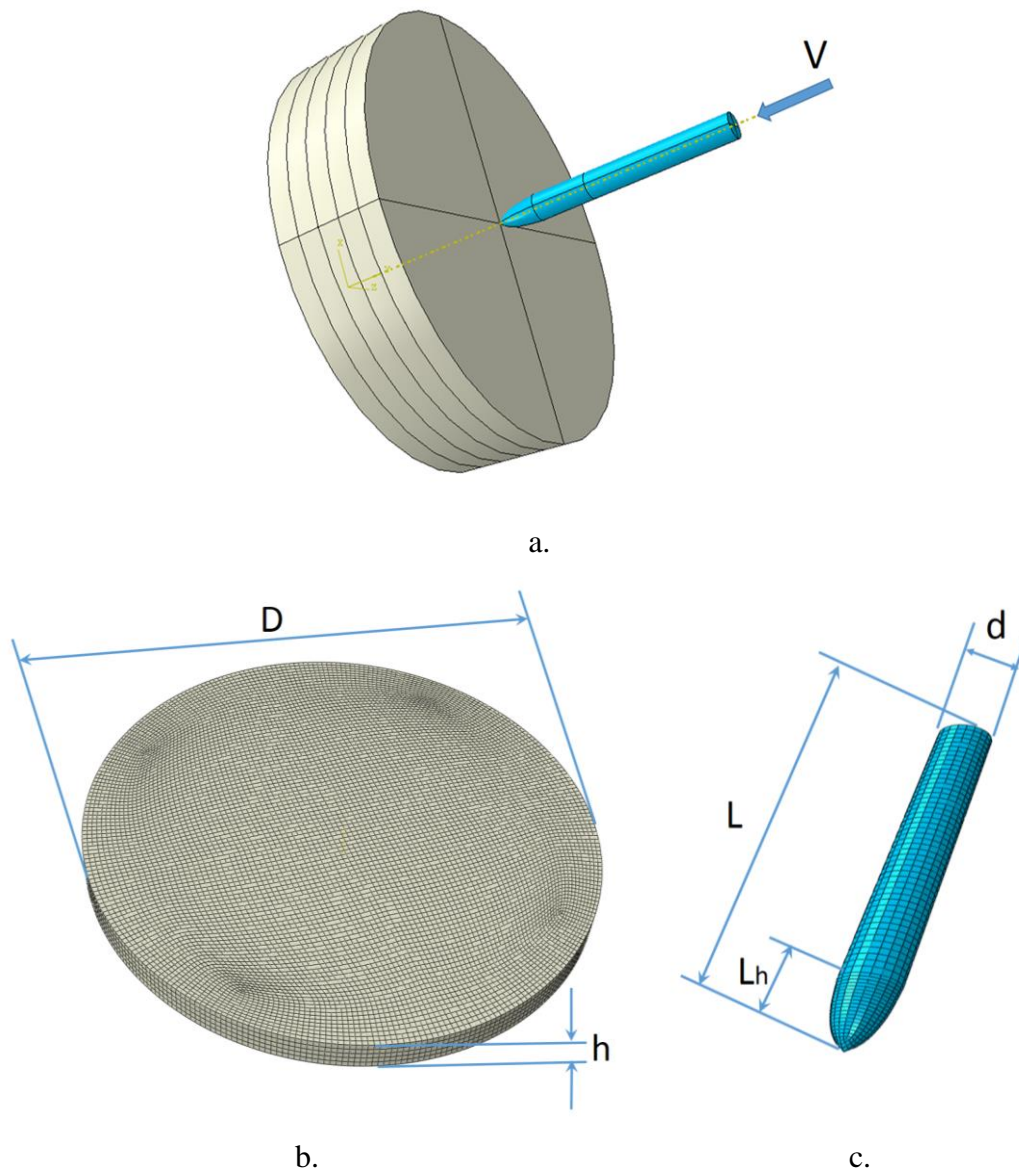


Figure 1: Assembly and parts. a. The assembly of the target made of 5 simply contacting plates and the projectile. b. A PMMA round plate with typical mesh. c. The meshed projectile.



The dynamic elastic modulus is  $E = 5.76$  GPa, and Poisson's ratio is  $\nu = 0.42$ [45]. The density is  $\rho = 1190$  Kg/m<sup>3</sup> and the DP pressure sensitivity is  $\beta = 20^\circ$  [46], [47]. Experimentally determined uniaxial compression stress–plastic strain curves at different strain rates of: 0.0001, 1, 2000 and 4000 1/s are shown in Fig. 2, from [47]. In the absence of experimental data at significantly higher strain rates, we assumed that the behavior of the material is that measured at 4000 1/s. It is assumed that at extremely high strain rates, the strength of the material does not increase indefinitely.

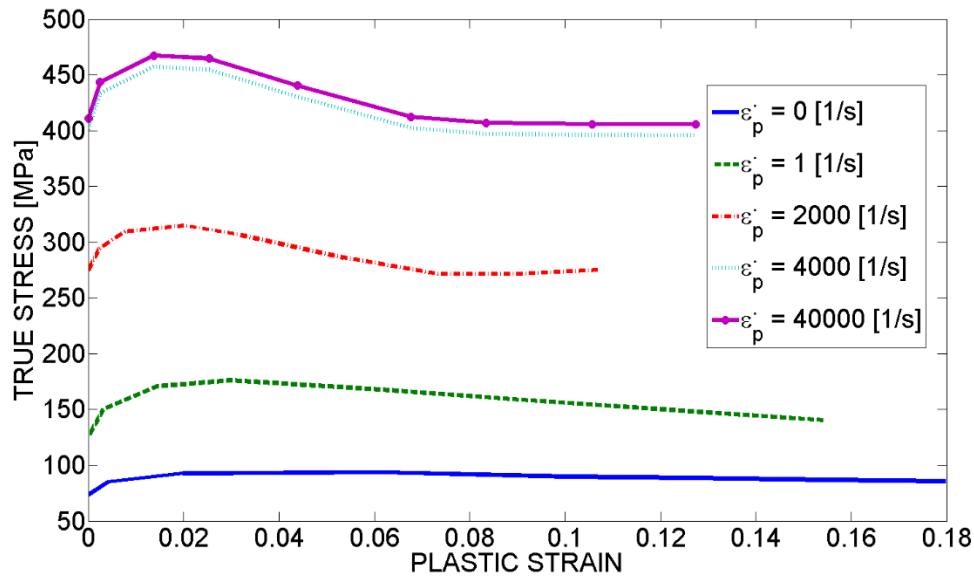


Figure 2: Experimentally determined uniaxial compression stress–plastic strain curves [46], [47] of PMMA at different strain rates of: 0.0001 , 1, 2000. 4000 and 40000 1/s.

At high strain rates, PMMA was shown to sustain reasonable plastic strains under confined compression, and fail by adiabatic shear banding [46] as opposed to brittle shattering in tension. Hence, two types of failure criteria were applied simultaneously:

1. Ductile damage with damage evolution [47] [42].
2. Tensile failure.

The plastic strains for failure at different strain rates and triaxiality levels are detailed in Table 1. The displacement damage evolution [42] was set to zero. The new brittle incubation time-based fracture criterion (Eqn. 2) is used, while in previous work [47] we used Abaqus tensile failure criterion [42] with a constant value. The threshold value of the maximum principal stress was taken to be 25 MPa while  $60 \text{ Pa} \cdot s \leq C_{cr} \leq 80 \text{ Pa} \cdot s$ . Those values were found to yield a good agreement with experimental results that will be shown in the sequel.

Stain rate [1/s]	triaxiality			
	-0.6	-0.3	0	1
0.0001	0.68	0.3	0.02	0.01
1	0.6	0.24	0.0175	0.01
2000	0.44	0.22	0.01	0.0025
4000	0.4	0.2	0.0075	0.0015
40000	0.4	0.2	0.00075	0.0005

Table 1: Failure strains ( $\varepsilon_p^f$ ) of PMMA versus triaxiality and strain rate. Those values were used in [47] for the negative triaxiality range, measured but not published for zero triaxiality, and were assumed for a triaxiality of 1.

### 3.2 Mesh and boundary conditions

The assembly of the 5 PMMA plates and the projectile at  $t = 0$  are shown in Fig. 1a. The initial velocity of the projectile is  $V = 300$  m/s. The general contact algorithm of Abaqus [42] is used with element-based surfaces which can adapt to the exposed surfaces of the current non-failed elements. All the surfaces that may become exposed during the analysis, including faces that are originally in the interior of bodies are included in the contact model. The contact domain includes the 5 PMMA plates and the projectile since the projectile trajectory is not known *a-priori*. Abaqus' frictional tangential behavior with the coefficient of friction  $f = 0.3$  is adopted. The NODAL EROSION parameter is set to "NO" so contact nodes still take part in the contact calculations even after all of the surrounding elements have failed. These nodes act as free-floating point masses that can experience contact with the active contact faces.

A constant seed mesh size of 1 mm was used for the plates and projectile. Similar constant mesh size and structure were used in previous investigations [46]–[49]. One has to keep in mind that finite elements results which use erosion technique are mesh-sensitive. We studied in detail the mesh sensitivity in [46–49] and present only results for the optimal mesh. A typical structured mesh of the first plate is shown in Fig. 1b. A total number of 45600 linear hexahedral elements of type C3D8R are used. The mesh of the projectile is shown in Fig. 1c. The mesh seed along edges of the ogive head of

the projectile were downsized to 0.5 mm. A total number of 2928 linear hexahedral elements of type C3D8R are used

#### 4. *Experimental normal impact on PMMA plates by a long steel projectile.*

An aluminum sabot is used to accelerate the projectile in a 6m long vacuumed barrel using the discharge of a 3L tank filled with compressed air (~30 bar). The impact takes place in a vacuumed chamber at the end of the barrel (~0.2 bar). A sabot stripper within the chamber is used to strip the projectile. A fast camera (KIRANA) is used to picture the impact event through the transparent wall of the chamber.

Four test were conducted. The impact velocity  $V_{in}$ , the outgoing velocity  $V_{out}$ , and the difference of the velocities  $\Delta V = V_{in} - V_{out}$  are summarized in table 2.

Test number	$V_{in}$ [m/s]	$V_{out}$ [m/s]	$\Delta V$ [m/s]
1	282	229	53
2	302	224	78
3	301	224	77
4	318	251	67

Table 2: Velocity test results.

#### 5. *Comparison of experimental and numerical results*

The experimental results show that an average impact velocity of  $300.8 \pm 18$  m/s was used. The resulting average outgoing velocity is  $232 \pm 19$  m/s which corresponds to an average velocity drop  $69 \pm 16$  m/s.

Figure 3 shows the numerically obtained velocity due to usage of three critical values:  $C_{cr} = 60, 70$  and  $80$  Pa\*s. The calculated exit velocity are 245, 232 and 225 m/s correspondingly. The black line represent the averaged experimental exit velocity. According to the variation of the impact and exit velocity ( $232 \pm 19$  m/s) and Fig. 3 it can be estimated that the critical value is  $C_{cr} = 70 \pm 10$  Pa\*s.

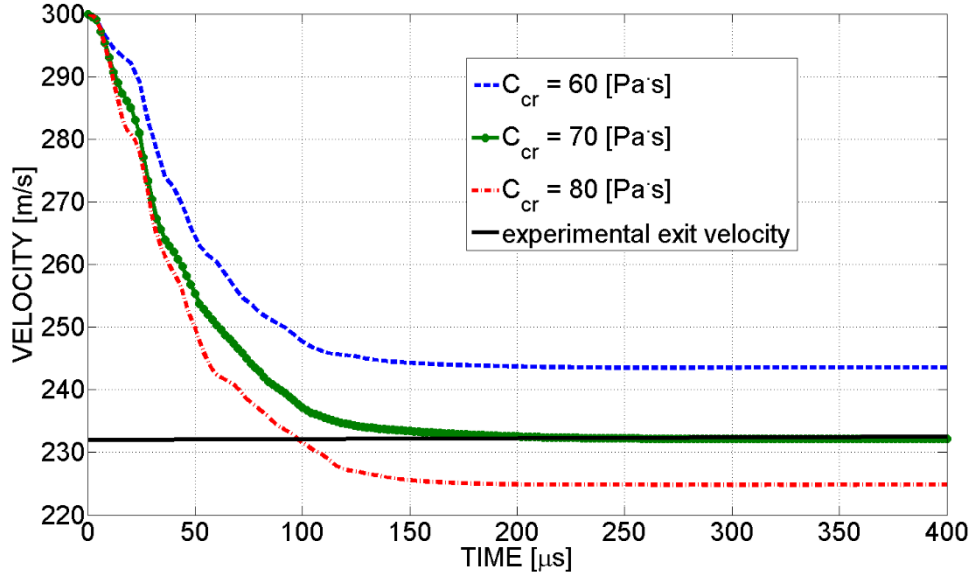
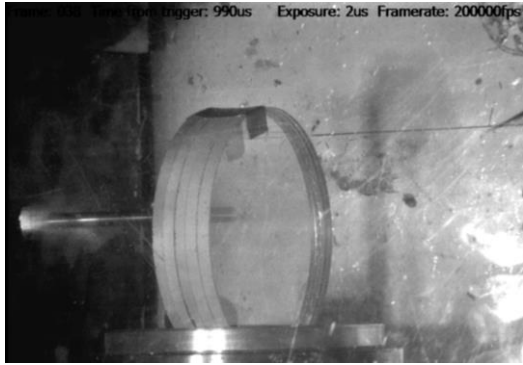


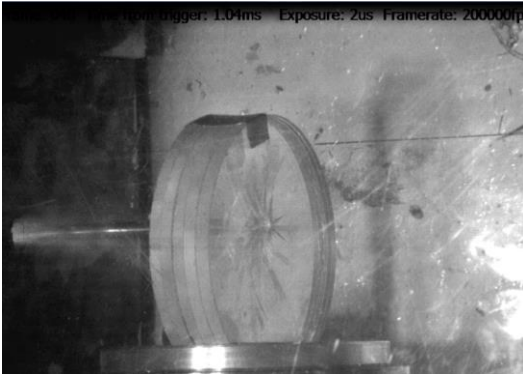
Figure 3: Numerically calculated velocity of the projectile. The asymptotic value at large times corresponds to the average projectile exit velocity

Figure 4 shows a comparison between the experimental video recording of test 2 and the numerical analysis for time intervals of 0, 50, 105, 130, 175, 210, 270, 320 and 400  $\mu$ s, respectively. At 400  $\mu$ s, the projectile has fully exited the target and travels at a constant velocity. One has to note that in the numerical analysis the failed elements are removed, while in reality they do not disappear. It should also be noted that at  $t = 0$   $\mu$ s, there is only a small amount of air in the chamber, but with time, more air/wind from the barrel enters the chamber and affect the flight of the fragments and shreds. Hence, a perfect agreement between the numerical and experimental pictures cannot be achieved.

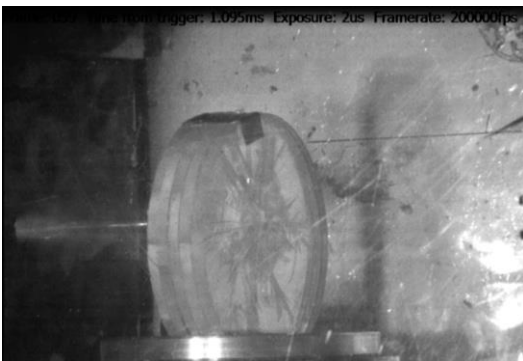
Fig. 4. Shows the experimental and the numerical results side by side, illustrating the excellent agreement. The analysis predict the initial creation and growth of radial cracks in the first 150  $\mu$ s or so, followed later by circumferential cracking (dishing) that tears the whole center of the plates. In addition, one can notice the development of short superficial crack segments from about 130  $\mu$ s which are captured by the numerical simulations.



$t = 0 \mu s$



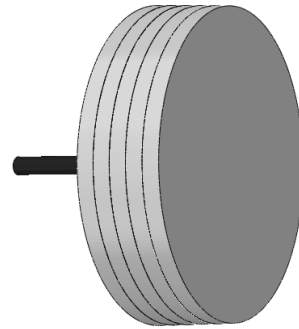
$t = 50 \mu s$



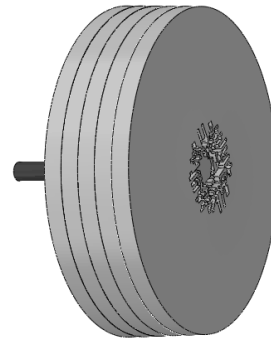
$t = 105 \mu s$



$t = 130 \mu s$



$t = 0 \mu s$

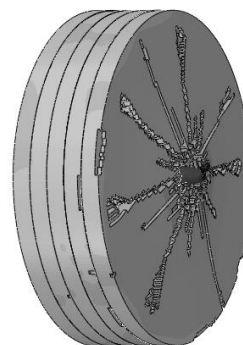


$t = 48 \mu s$

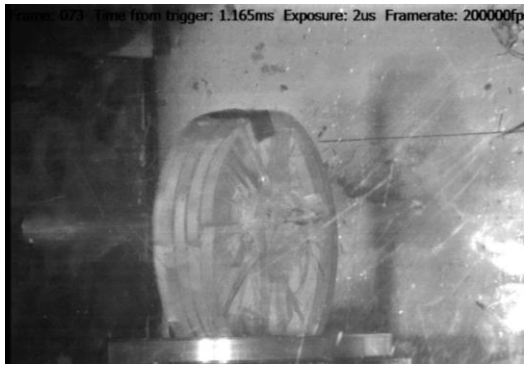
radial cracks



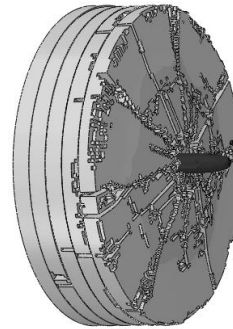
$t = 104 \mu s$



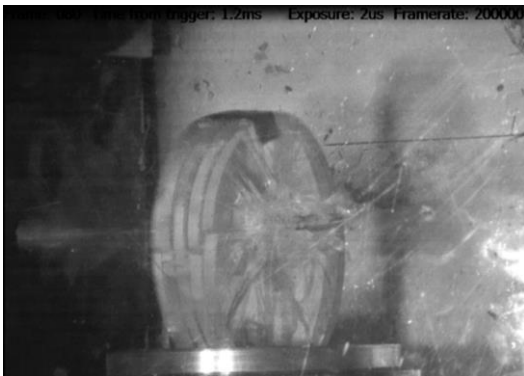
$t = 128 \mu s$



$t = 175 \mu s$

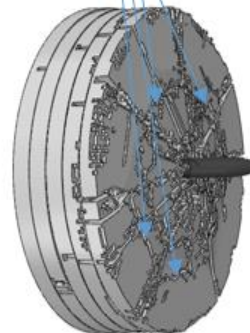


$t = 174 \mu s$



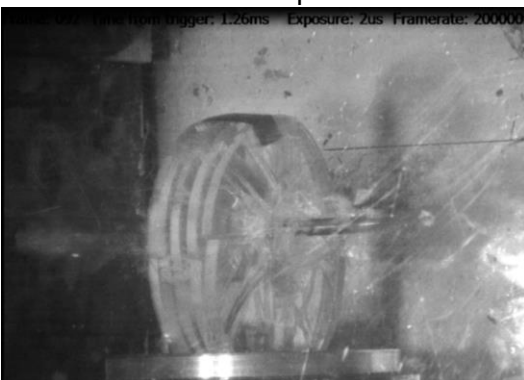
$t = 210 \mu s$

dish cracks

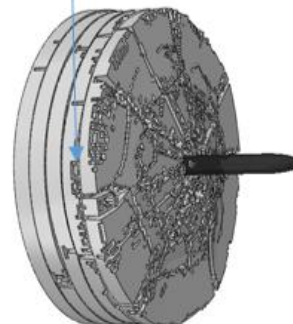


$t = 208 \mu s$

superficial cracks



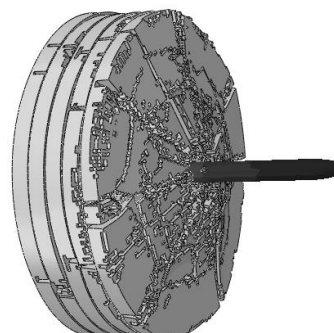
$t = 270 \mu s$



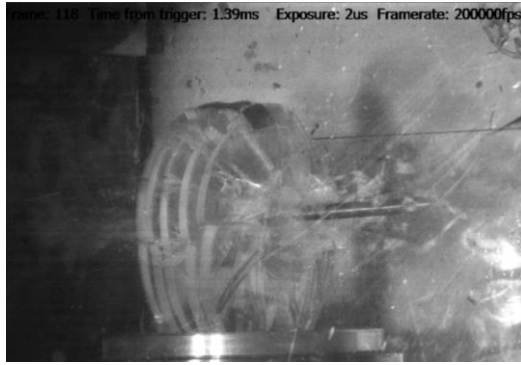
$t = 272 \mu s$



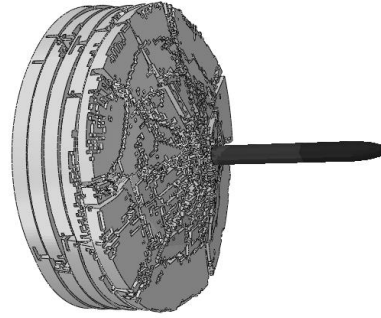
$t = 320 \mu s$



$t = 320 \mu s$



$t = 400 \mu s$



$t = 400 \mu s$

Figure 4: Experimental and numerical results for time intervals of 0, 50, 105, 130, 175, 210, 270, 320 and 400  $\mu s$ . The experimental results are on the left side.

## 6. *Summary and conclusions*

A new dynamic brittle fracture criterion based on the time incubation concept was developed and implemented numerically to model ballistic penetration of 5 PMMA plates, as an example of brittle material. The comparison between the experimental and the numerical results showed that the exit velocity of the projectile was faithfully calculated, while the visual appearance of the fracture pattern, including its minute details, are quite resembling to the physical reality.

The present criterion presents a very simple alternative to the current strategies employed to model this kind of problems with results of a no lesser quality. As such, it is believed that the approach presented here should be enticing to the engineering community who needs simple tools to solve complex dynamic design problems.

## *Acknowledgement*

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